

SERVICES:

	< \$750,000 Assets Under Management	> \$750,000 Assets Under Management
INVESTMENT MANAGEMENT		
Annualized Asset-Based Fee	1%	1% - 0.25% *
Portfolio Monitoring	☑	☑
Trading	☑	☑
Capital Gain/Loss Evaluation	☑	☑
Investment Policy Statement	☑	☑
Daily Cash Monitoring	☑	☑
Asset Allocation	☑	☑
Asset Location	☑	☑
Dollar-Cost-Averaging	☑	☑
Periodic Investment Plans	☑	☑
Periodic Distribution Plans	☑	☑
Risk Tolerance Updates	☑	☑
FINANCIAL PLANNING		
Retirement Projections and Updates	<p>Financial planning services are available upon request under a separate service agreement.</p> <p>A separate service agreement would be signed by both parties to outline the scope of the financial planning services and applicable fees.</p>	☑
Education Planning		☑
Cash Flow Planning		☑
Tax Planning		☑
Social Security Maximization		☑
Insurance Analysis		☑
Financial Planning for Children		☑
Real Estate Analysis		☑
Retirement Distribution Strategies		☑
Roth Conversion Analysis		☑
Charitable Giving		☑
Estate Planning		☑
Business Entity Selection Advice	☑	
RELATIONSHIP MANAGEMENT		
Coordination With Outside Professionals (Tax, Estate, Insurance)		☑

* Annualized Asset-Based Fee is on a sliding scale and is dependent upon total Client Assets Under Management. See ADV Brochure Item 5 for further details.